



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

DEC 01 2004

Technology Center 2600

First Named
Inventor : Philipp H. Schmid et al.

Appln. No. : 09/751,836

Filed : December 29,, 2000

For : MIDDLEWARE LAYER BETWEEN
SPEECH RELATED APPLICATIONS
AND ENGINES

Docket No.: M61.12-0323

Group Art Unit: 2655
Examiner: Matthew J.
Sked

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
COMMISSIONER FOR PATENTS, P.O. BOX 1450,
ALEXANDRIA, VA 22313-2450, THIS

RECEIVED

DEC 13 2004

TC 1700

31st DAY OF November, 2004
Christopher L. Hale
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO
Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of
foreign references or "other art" references are enclosed.

TIME OF FILING

The information disclosure statement is being filed:

1. _____ with the application or within three months of the
filing date of the application or date of entry into
the national stage of an international application or
before the mailing date of a first Office action on
the merits, whichever event occurs last. In
accordance with 37 C.F.R. § 1.97(b), no statement or
fee is required.

2. X after the time period specified in paragraph 1 above,
but before the mailing date of a final action under 37
C.F.R. § 1.113 or notice of allowance under 37 C.F.R.
§ 1.311. Therefore, in accordance with 37 C.F.R. §
1.97(c), submitted herewith is:

(check either A or B below)

A. _____ a statement as specified in 37 C.F.R. § 1.97(e).
B. X the fee set forth in 37 C.F.R. § 1.17(p) for

11/29/2004 HLE333 00000029 09751836 180.00 DP 01 FC:1806

submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ____ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
 - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

____ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

____ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

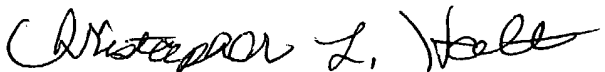
 No fee is required.

 X Attached is a check in the amount of \$180.00.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 
Christopher L. Holt, Reg. No. 45,844
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222
Fax: (612) 334-3312

CLH/rkp



FORM PTO-1449		Atty. Docket No.: M61.12-0323	Appl. No.: 09/751,836
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor	
		Philipp H. Schmidt	
		Filing Date	
		December 29, 2000	
		Group Art: 2655	

RECEIVED
DEC 01 2004
Technology Center 2600
TC 1700

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,064,959	5/2000	Young et al.	704	251	
AB	5,995,928	11/1999	Nguyen et al.	704	251	
AC	6,314,399	11/2001	Deligne et al.	704	257	
AD	5,865,626	2/1999	Beattie et al.	434	185	
AE	2002/0138265	9/2002	Stevens et al.	704	251	
AF	6,374,226	04/2002	Hunt et al.	704	275	
AG	5,991,720	11/1999	Galler et al.	704	256	
AH	6,535,886	03/2003	Koontz, Eugene	707	102	
AI	6,456,974	09/2002	Baker et al.	704	270.1	
AJ	5,621,859	04/1997	Schwartz et al.	704	256	
AK	6,138,098	10/2000	Shieber et al.	704	257	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Hataoka et al. "Sophisticated Speech Processing Middleware on Microprocessor", 1999 IEEE 3 rd Workshop on multimedia signal processing: Copenhagen, 13-15 September 1999.
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449

Atty. Docket No.:
M61.12-0323Appl. No.:
09/751,836LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

First Named Inventor

DEC 01 2004

Philipp H. Schuler Technology Center 2600

Filing Date

Group Art:

December 29, 2000

2655

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,487,533	11/2002	Hyde-Thompson et al.	704	260	
AB	6,466,909	10/2002	Didcock, Cliff	704	260	
AC	6,513,009	01/2003	Comerford et al.	704	270	
AD	5,748,974	05/1998	Johnson, David Edward	704	9	
AE	6,456,974	09/2002	Baker et al.	704	270.1	
AF	6,618,703	09/2003	Peres et al.	704	270	
AG	5,799,273	08/1998	Mitchell et al.	704	235	
AH	6,044,347	03/2000	Abella et al.	704	272	
AI	6,636,831	10/2003	Profit et al.	704	275	
AJ	6,526,381	02/2003	Wilson, Andrew T.	704	251	
AK	6,377,913	04/2002	Coffman et al.	704	8	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.